

Docket: 15129.11

**WORKMAN
NYDEGGER
& SEELEY**

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER

60 EAST SOUTH TEMPLE

SALT LAKE CITY, UTAH 84111

TELEPHONE (801) 533-9800

FACSIMILE (801) 328-1707

RICK D. NYDEGGER
DAVID O. SEELEY
BRENT P. LORIMER
THOMAS R. VUKSINICK
LARRY R. LAYCOCK
JONATHAN W. RICHARDS
DAVID R. WRIGHT
JOHN C. STRINGHAM
JOHN M. GUYNN
CHARLES L. ROBERTS
GREGORY M. TAYLOR
DANA L. TANGREN
ERIC L. MASCHOFF
KEVIN B. LAURENCE
CHARLES J. VEVERKA
ROBYN L. PHILLIPS
RICHARD C. GILMORE†
DAVID B. DELLENBACH
KEVIN K. JOHANSON
R. BURNS ISRAELSEN

DAVID R. TODD
L. DAVID GRIFFIN
FRASER D. ROY
CARL T. REED
JESÚS JUANOS I TIMONEDA, Ph.D.
R. PARRISH FREEMAN, Jr.
PETER F. MALEN, Jr.
ADRIAN J. LEE
DAVID B. TINGEY
L. REX SEARS, Ph.D.
ERIC M. KAMERATH
ROBERT E. AYCOCK
JENS C. JENKINS
KEVIN W. STINGER
WILLIAM J. ATHAY
WILLIAM R. RICHTER*
† ADMITTED ONLY IN CALIFORNIA
* ADMITTED ONLY IN PENNSYLVANIA
H. ROSS WORKMAN
OF COUNSEL

PATENTS

TRADEMARKS

COPYRIGHTS

TRADE SECRETS

UNFAIR COMPETITION

LICENSING

COMPLEX LITIGATION

MAILING ADDRESS:

P.O. BOX 45862

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspatent.com>GENERAL E-MAIL: info@wnspatent.com

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

LEE MIN LAU, ET AL.

Serial No.:

09/755,969

Confirmation No.:

5154

Filed:

January 5, 2001

For:

MATCHING AND MAPPING CLINICAL
DATA TO A STANDARD

BOX: MISSING PARTS

Assistant Commissioner for Patents

Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Assistant Commissioner:

In response to the Notice to File Missing Parts of Application mailed April 2, 2001, please find enclosed herewith the following items for filing in the United States Patent and Trademark Office in connection with the above-identified patent application:

X A Declaration, Power of Attorney, and Petition executed by the inventors Lee Min Lau et al.

X An Assignment conveying the invention to 3M Innovative Properties Company, including a Form PTO-1595 recordation cover sheet.

☐ A Declaration Claiming Small Entity Status Pursuant to 37 C.F.R. § 1.9 and § 1.27.

☐ The present application claims entitlement to Small Entity Status under 37 CAR §§ 1.9 and 1.27

☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted.

☒ A Certificate of Deposit under 37 C.F.R. § 1.8.

The filing fee has been calculated as shown below.

FOR	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE				\$710
TOT. CLAIMS	31-20=	11	11X 18=	\$198
IND. CLAIMS	0-3=	0	0X 80	
MULTIPLE DEPENDENT CLAIM			+270=	
			TOTAL	\$908.00

☒ Credit Card Payment form in the amount of \$1,078.00 is enclosed to cover:

☒ The \$908.00 government filing fee.

☒ The \$40.00 recordation fee of the enclosed assignment.

☒ The \$130 surcharge fee pursuant to 37 C.F.R. § 1.16(e).

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or to credit any overpayment to Deposit Account No. 23-3178.

☒ Any additional filing fees required under 37 C.F.R. § 1.16.

☒ Any patent application processing fees under 37 C.F.R. § 1.17.

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

☒ Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

☒ Any patent application processing fees under 37 C.F.R. § 1.17.

☐ The issue fee set in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

☒ A duplicate copy of this letter is enclosed.

Assistant Commissioner for Trademarks

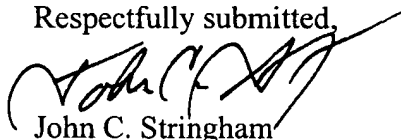
May 7, 2001

Page 3

Please address all future correspondence in connection with this case to the attention of the undersigned.

Dated this 9 day of May, 2001.

Respectfully submitted,



John C. Stringham
Attorney for Applicant
Registration No. 40,831



022913

PATENT TRADEMARK OFFICE

JCS:gnu

G:\DATA\wpdocs2\GNU\STR\15129\11 B08_missingparts_trx.doc



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/755,969	01/05/2001	Lee Min Lau	15129.11

022913
WORKMAN NYDEGGER & SEELEY
1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UT 84111



CONFIRMATION NO. 5154

FORMALITIES LETTER



OC000000005924394

Date Mailed: 04/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$198.
 - \$198 for 11 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1038.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/14/2001 KZHDIE 00000024 09755969
01 FC:101
02 FC:105
03 FC:103
710.00
130.00
198.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

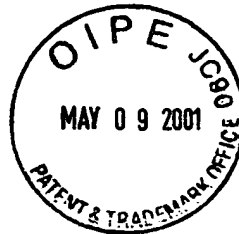
In re application of:

Lee Min Lau, et al.

Serial No.: 09/755,969

Confirmation No.: 5154

Filed: January 5, 2001

For: MATCHING AND MAPPING CLINICAL DATA
TO A STANDARDCERTIFICATE OF EXPRESS MAILING UNDER 37 C.F.R. § 1.10

"Express Mail" Mailing Label No.: EL746188108US

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on this 9 day of May, 2000:

- Response to Notice to File Missing Parts of Application (3 pgs.) (in duplicate)
- Declaration, Power of Attorney, and Petition (4 pgs.)
- Assignment with Form PTO-1595 (5 pgs.)
- Copy of Notice to File Missing Parts of Application - Filing Date Granted (1 page)
- Credit Card Payment Form in the amount of \$1078.00
- Postcard

Respectfully submitted,

JOHN C. STRINGHAM
Attorney for Applicant
Registration No. 40,831

WORKMAN, NYDEGGER & SEELEY
1000 Eagle Gate Tower
60 East South Temple
Salt Lake City, Utah 84111
Telephone: (801) 533-9800